



#4

US Rec'd PCT/PTO 03 OCT 2005
10-04-05

PET

Docket No. 212/692US

Transmittal of Missing Parts

To: Commissioner for Patents
P.O. Box 1450, Alexandria, VA 22313-1450Sir: Enclosed for filing please find the missing parts for the following patent application:
Inventors: Zhengguo LI, Feng PAN, Keng Pang LIM, Dajun WU, Rongshan YU, Genan FENG, and Dusheng WANG
Title: A Method and an Apparatus for Controlling the Rate of a Video Sequence; A Video Encoding Device

Enclosed please also find the following papers:

Serial Number: 10/528,363

- ☐ _____ pages Specification; _____ pages claims; _____ pages abstract Filing Date February 25, 2005
- ☐ _____ sheets drawings (☐ informal ☐ formal)
- ☒ Declaration & Power of Attorney (☐ combined ☒ separate)
- ☒ Assignment of the invention to: Agency for Science, Technology and Research
- ☐
- ☒ Form PTO-1533
- ☐ Petition for Grant of Filing Date and Declaration in Support of Petition for Grant of Filing Date
- ☐ Preliminary Amendment
- ☒ Petition for Extension of Time
- ☐ Other: _____

10/11/2005 MKAYPAGH 00000102 033700 10528363

01 FC:1617

130.00 DA

The filing fee has been calculated as shown below:

Basic Filing Fee					Paid
Total Claims			50		\$0
Independent Claims			200		\$0
Multiple Dependent Claims	<input type="checkbox"/>		360		\$0
Surcharge 37 CFR 1.16(E)	<input checked="" type="checkbox"/>				\$130
Total Calculations					\$130
Reduction for Small Entity				<input type="checkbox"/> Applicant claims small entity status.	\$130
Assignment (\$40)	<input checked="" type="checkbox"/>				\$40
TOTAL FEES					\$170

☐ Check No. _____ in the amount of \$170 to cover the above fees is enclosed.☒ The Commissioner is hereby authorized to charge payment of the fees associated with this communication, any deficiency in the fee paid, or credit any overpayment to Deposit Account 03-3700.

Date: October 3, 2005

By:

Paul J. Backofen, Esq., Reg. No. 42,278

Crockett & Crockett
24012 Calle De La Plata, Suite 400 Tel (949) 588-6171
Laguna Hills, CA 92653 Fax (949) 588-6172

Certificate of Mailing (37 CFR 1.10)

I hereby certify that this transmittal (along with any paper referred to as being attached or enclosed) is being deposited in Express Mail using Express Mail Post Office to Addressee with the United States Postal Service on the date shown below in an envelop addressed to the Commissioner of Patents and Trademarks, P.O. Box 1450, Alexandria, VA 22313, Mail Stop Missing Parts.

Express Mail Label EV494237375US

Date: October 3, 2005

Michelle Campero



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/528,363	Zhengguo Li	212/692US

INTERNATIONAL APPLICATION NO.

PCT/SG02/00206

LA. FILING DATE

PRIORITY DATE

09/05/2002

Crockett & Crockett
 24012 Calle de la Plata
 Suite 400
 Laguna Hills, CA 92653

CONFIRMATION NO. 7049

371 FORMALITIES LETTER



OC000000016431661

Date Mailed: 07/01/2005

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 02/25/2005
- Copy of the International Search Report filed on 02/25/2005
- Copy of IPE Report filed on 02/25/2005
- Preliminary Amendments filed on 02/25/2005
- Information Disclosure Statements filed on 05/24/2005
- U.S. Basic National Fees filed on 02/25/2005

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- **\$130** Surcharge for providing the oath or declaration later than 30 months from the priority date (37 CFR 1.492(e)) is required.

SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$130** for a Large Entity:

- **\$130** Late oath or declaration Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

PATRICIA A BOOKER

Telephone: (703) 308-9140 EXT 204

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/528,363	PCT/SG02/00206	212/692US

FORM PCT/DO/EO/905 (371 Formalities Notice)